

Post-quantum key exchange for the TLS protocol from the ring learning with errors problem

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joint work with **Joppe Bos** (*NXP*),
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Microsoft
Research

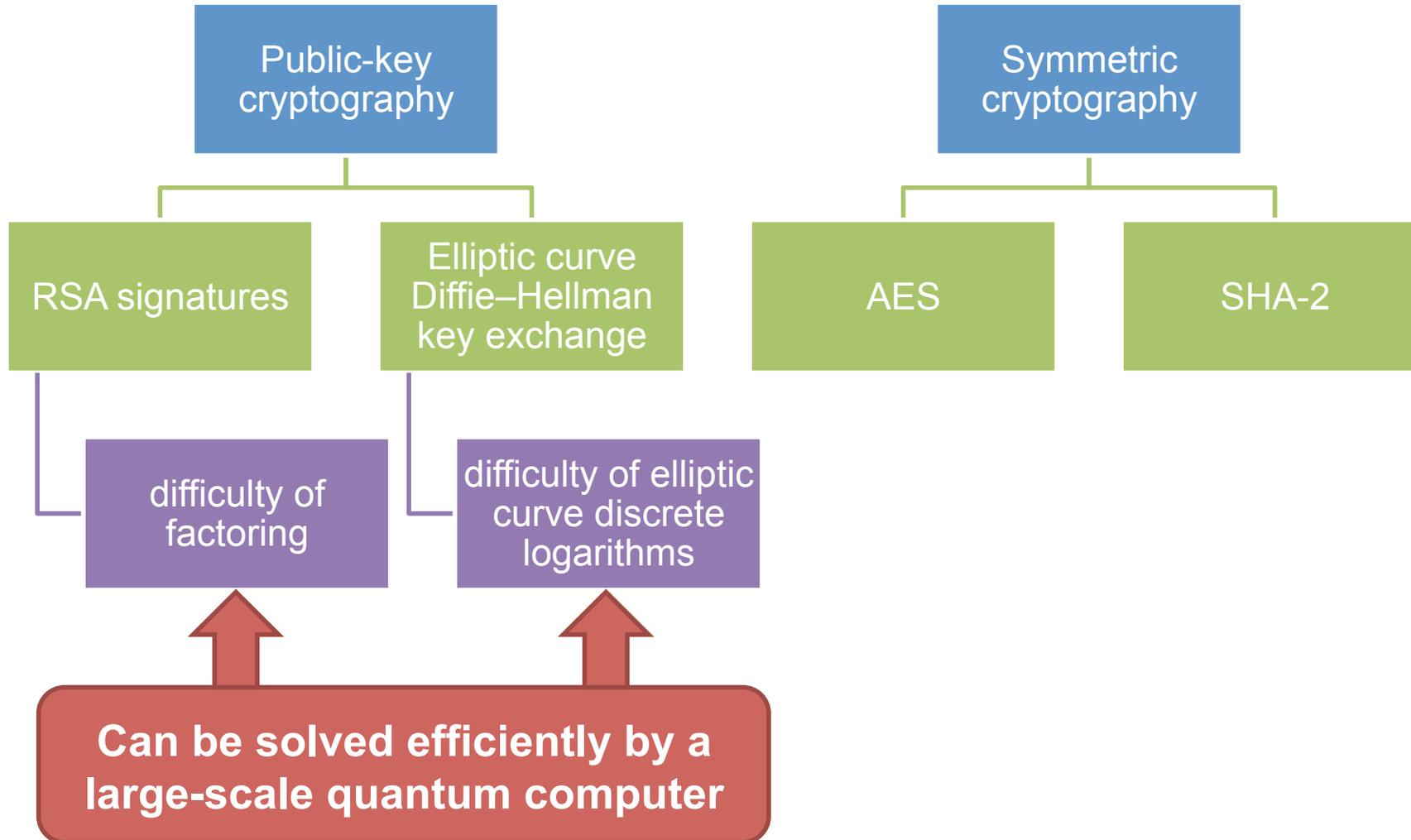


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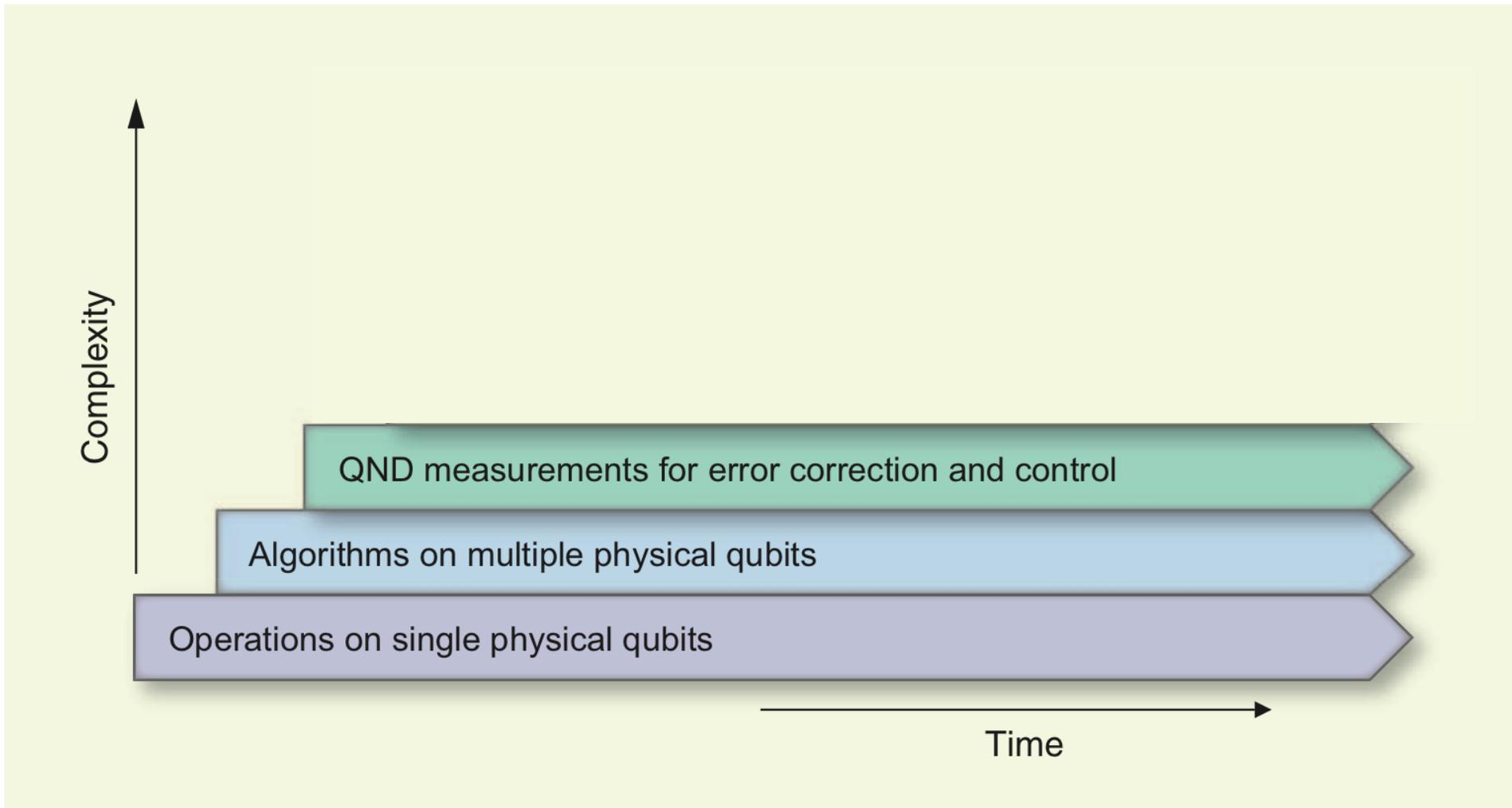
Background

Contemporary cryptography

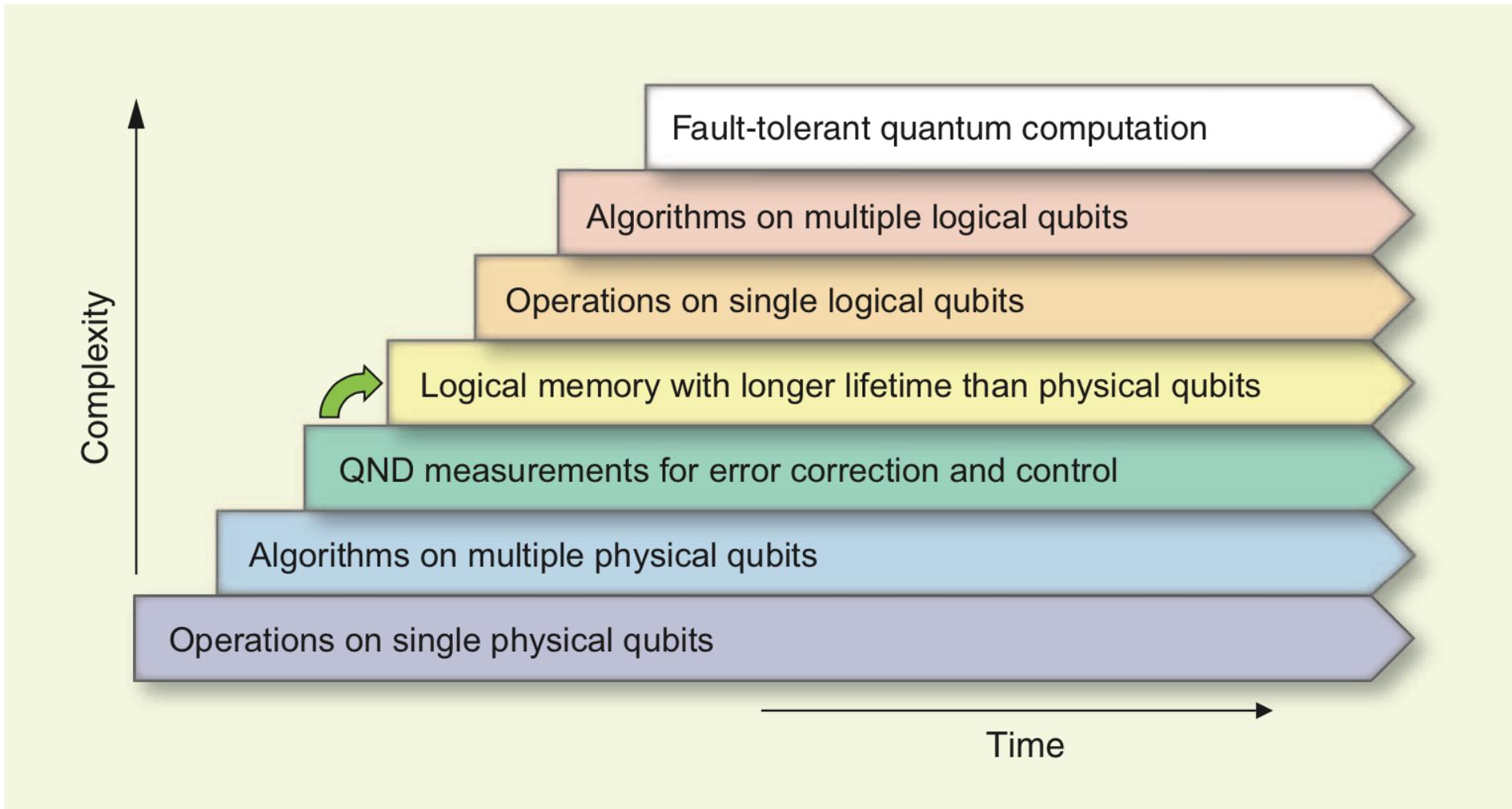
TLS-ECDHE-RSA-AES128-GCM-SHA256



Building quantum computers



Building quantum computers



Post-quantum / quantum-safe crypto

No known exponential quantum speedup:

Code-based

- McEliece

Hash-based

- Merkle signatures
- Sphincs

Multivariate

- multivariate quadratic

Lattice-based

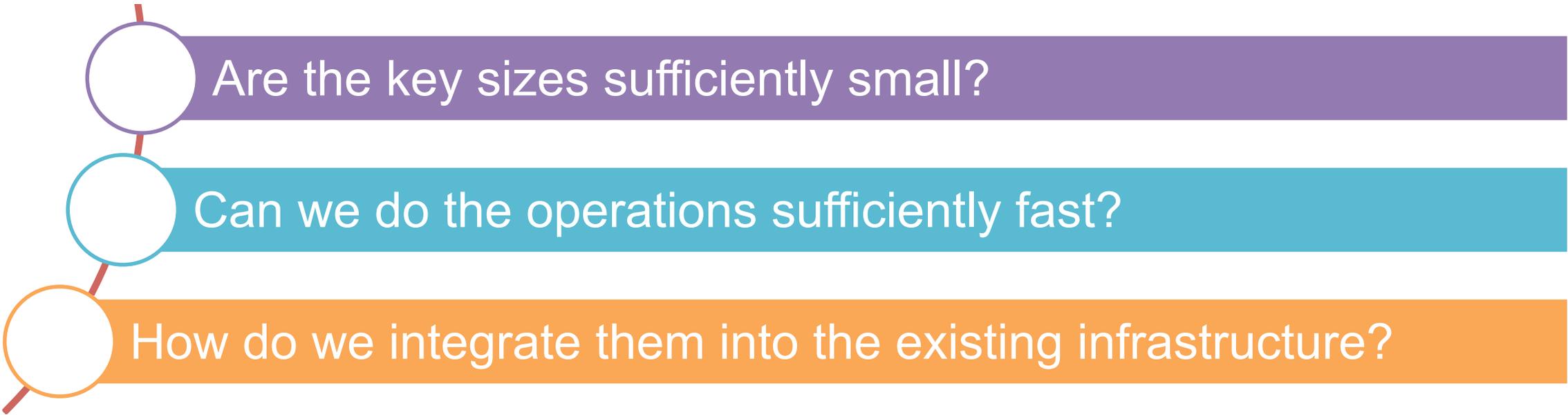
- NTRU
- learning with errors
- ring-LWE

Lots of questions

- Better classical or quantum attacks on post-quantum schemes?
- What are the right parameter sizes?
- Are the key sizes sufficiently small?
- Can we do the operations sufficiently fast?
- How do we integrate them into the existing infrastructure?

Lots of questions

This talk: ring learning with errors



Are the key sizes sufficiently small?

Can we do the operations sufficiently fast?

How do we integrate them into the existing infrastructure?

This talk: ring-LWE key agreement in TLS

Premise: large-scale quantum computers don't exist right now, but we want to protect today's communications against tomorrow's adversary.

- Signatures still done with traditional primitives (RSA/ECDSA)
 - we only need authentication to be secure *now*
 - benefit: use existing RSA-based PKI
- Key agreement done with ring-LWE

Learning with errors

Solving systems of linear equations

$$\begin{matrix} \mathbb{Z}_{13}^{7 \times 4} \\ \begin{array}{|c|c|c|c|} \hline 4 & 1 & 11 & 10 \\ \hline 5 & 5 & 9 & 5 \\ \hline 3 & 9 & 0 & 10 \\ \hline 1 & 3 & 3 & 2 \\ \hline 12 & 7 & 3 & 4 \\ \hline 6 & 5 & 11 & 4 \\ \hline 3 & 3 & 5 & 0 \\ \hline \end{array} \end{matrix} \times \begin{matrix} \text{secret} \\ \mathbb{Z}_{13}^{4 \times 1} \\ \begin{array}{|c|} \hline \text{red} \\ \hline \text{red} \\ \hline \text{red} \\ \hline \text{red} \\ \hline \end{array} \end{matrix} = \begin{matrix} \mathbb{Z}_{13}^{7 \times 1} \\ \begin{array}{|c|} \hline 4 \\ \hline 8 \\ \hline 1 \\ \hline 10 \\ \hline 4 \\ \hline 12 \\ \hline 9 \\ \hline \end{array} \end{matrix}$$

Linear system problem: given **blue**, find **red**

Solving systems of linear equations

$$\begin{matrix} \mathbb{Z}_{13}^{7 \times 4} & & \text{secret} \\ & & \mathbb{Z}_{13}^{4 \times 1} \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \end{matrix}$$

4	1	11	10
5	5	9	5
3	9	0	10
1	3	3	2
12	7	3	4
6	5	11	4
3	3	5	0

×

6
9
11
11

=

4
8
1
10
4
12
9

Easily solved using
Gaussian elimination
(Linear Algebra 101)

Linear system problem: given **blue**, find **red**

Learning with errors problem

random $\mathbb{Z}_{13}^{7 \times 4}$ secret $\mathbb{Z}_{13}^{4 \times 1}$ small noise $\mathbb{Z}_{13}^{7 \times 1}$ looks random $\mathbb{Z}_{13}^{7 \times 1}$

4	1	11	10
5	5	9	5
3	9	0	10
1	3	3	2
12	7	3	4
6	5	11	4
3	3	5	0

×

6
9
11
11

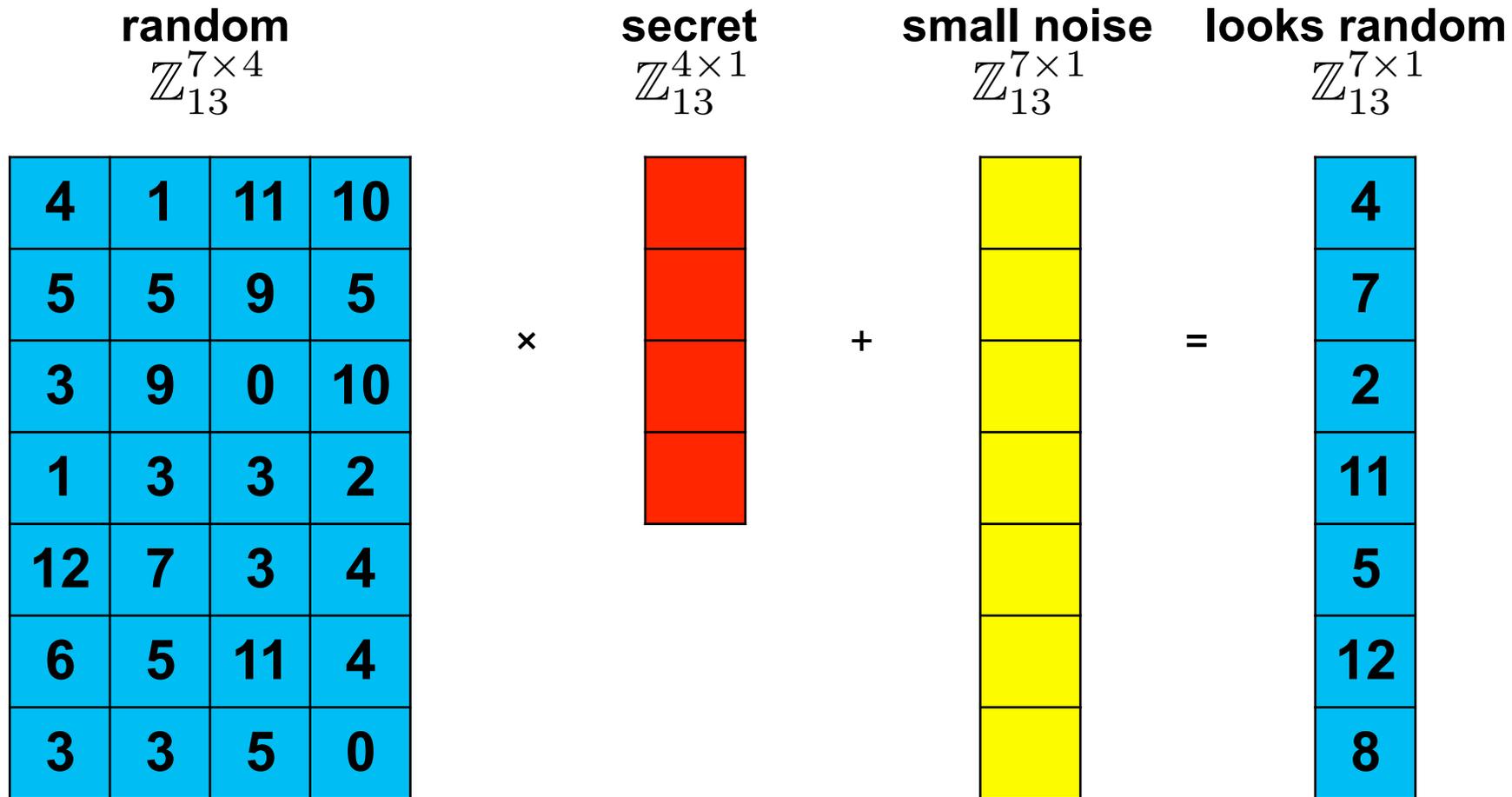
+

0
-1
1
1
1
0
-1

=

4
7
2
11
5
12
8

Learning with errors problem



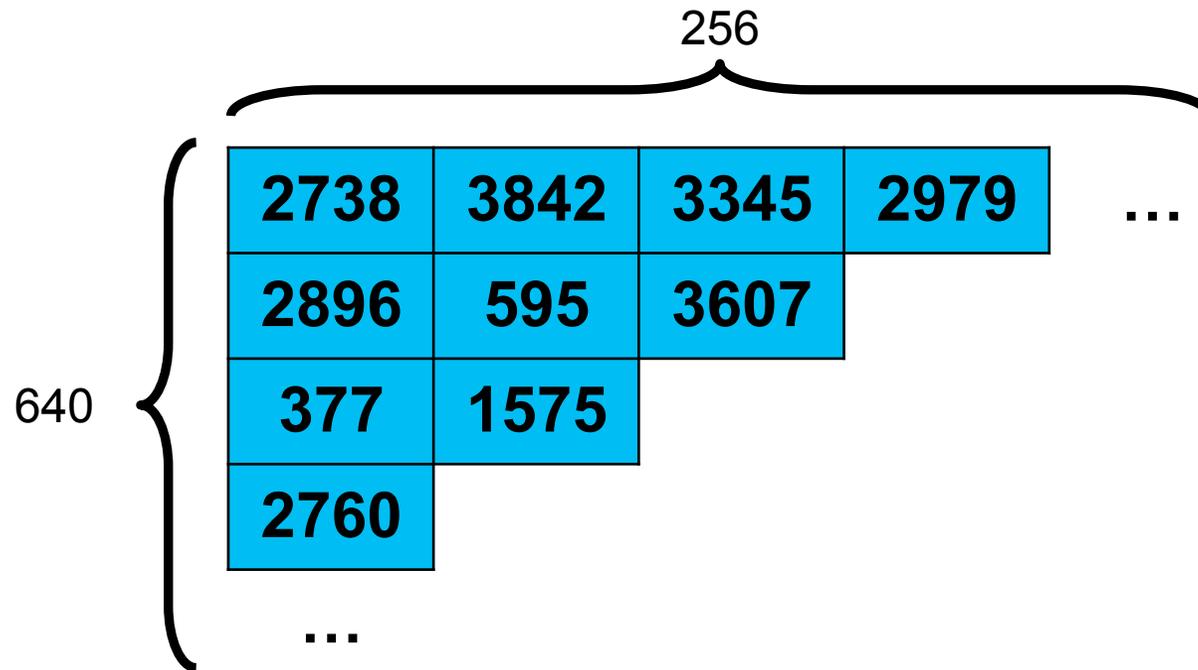
LWE problem: given blue, find red

Toy example versus real-world example

$$\mathbb{Z}_{13}^{7 \times 4}$$

4	1	11	10
5	5	9	5
3	9	0	10
1	3	3	2
12	7	3	4
6	5	11	4
3	3	5	0

$$\mathbb{Z}_{4093}^{640 \times 256}$$



$$640 \times 256 \times 12 \text{ bits} = \mathbf{245 \text{ KiB}}$$

Ring learning with errors problem

random
 $\mathbb{Z}_{13}^{7 \times 4}$

4	1	11	10
10	4	1	11
11	10	4	1
1	11	10	4
4	1	11	10
10	4	1	11
11	10	4	1

Each row is the cyclic shift of the row above

Ring learning with errors problem

random
 $\mathbb{Z}_{13}^{7 \times 4}$

4	1	11	10
3	4	1	11
2	3	4	1
12	2	3	4
9	12	2	3
10	9	12	2
11	10	9	12

Each row is the cyclic shift of the row above

...

with a special wrapping rule:
 x wraps to $-x \pmod{13}$.

Ring learning with errors problem

random

$$\mathbb{Z}_{13}^{7 \times 4}$$

4	1	11	10
---	---	----	----

Each row is the cyclic shift of the row above

...

with a special wrapping rule:
 x wraps to $-x \pmod{13}$.

So I only need to tell you the first row.

Ring learning with errors problem

$$\mathbb{Z}_{13}[x]/\langle x^4 + 1 \rangle$$

$$4 + 1x + 11x^2 + 10x^3$$

random

×

$$6 + 9x + 11x^2 + 11x^3$$

secret

+

$$0 - 1x + 1x^2 + 1x^3$$

small noise

=

$$10 + 5x + 10x^2 + 7x^3$$

Ring learning with errors problem

$$\mathbb{Z}_{13}[x]/\langle x^4 + 1 \rangle$$

$$4 + 1x + 11x^2 + 10x^3$$

random

×

$$\text{secret}$$

secret

+

$$\text{small noise}$$

small noise

=

$$10 + 5x + 10x^2 + 7x^3$$

Ring-LWE problem: given **blue**, find **red**

Decision ring learning with errors problem

$$\mathbb{Z}_{13}[x]/\langle x^4 + 1 \rangle$$

$$4 + 1x + 11x^2 + 10x^3$$

random

×

$$6 + 9x + 11x^2 + 11x^3$$

secret

+

$$0 - 1x + 1x^2 + 1x^3$$

small noise

=

$$10 + 5x + 10x^2 + 7x^3$$

looks random

Decision ring-LWE problem: given **blue**,
distinguish **green** from random

Decision ring learning with errors problem with small secrets

$$\mathbb{Z}_{13}[x]/\langle x^4 + 1 \rangle$$

$$4 + 1x + 11x^2 + 10x^3$$

random

$$\times \quad 1 + 0x - 1x^2 + 2x^3$$

small secret

$$+ \quad 0 - 1x + 1x^2 + 1x^3$$

small noise

$$= \quad 10 + 5x + 10x^2 + 7x^3$$

looks random

Decision ring-LWE problem: given **blue**,
distinguish **green** from random

Notation

- q : a prime
- n : a power of 2
- $R = \mathbb{Z}[X]/(X^n + 1)$: ring of polynomials in X with integer coefficients, polynomial reduction modulo $X^n + 1$
- \mathbb{Z}_q : integers modulo a prime q
- $R_q = \mathbb{Z}_q[X]/(X^n + 1)$: ring of polynomials in X with integer coefficients modulo q , polynomial reduction modulo $X^n + 1$

Decision ring learning with errors problem

Definition. Let n, R, q and R_q be as above. Let χ be a distribution over R , and let $s \stackrel{\$}{\leftarrow} \chi$. Define $O_{\chi,s}$ as the oracle which does the following:

1. Sample $a \stackrel{\$}{\leftarrow} \mathcal{U}(R_q)$, $e \stackrel{\$}{\leftarrow} \chi$,
2. Return $(a, as + e) \in R_q \times R_q$.

The *decision R-LWE problem* for n, q, χ is to distinguish $O_{\chi,s}$ from an oracle that returns uniform random samples from $R_q \times R_q$. In particular, if \mathcal{A} is an algorithm, define the advantage

$$\text{Adv}_{n,q,\chi}^{\text{drLWE}}(\mathcal{A}) = \left| \Pr \left(s \stackrel{\$}{\leftarrow} \chi; \mathcal{A}^{O_{\chi,s}}(\cdot) = 1 \right) - \Pr \left(\mathcal{A}^{\mathcal{U}(R_q \times R_q)}(\cdot) = 1 \right) \right| .$$

Hardness of DRLWE

Theory:

- There is a poly-time reduction from solving approximate shortest-independent vector problem (SIVP) on ideal lattices in R to solving DRLWE. [LPR10]

Practice:

- Assume the best way to solve DRLWE is to solve LWE.
- Solving LWE generally involves a lattice reduction problem.
- Albrecht et al. (eprint 2015/046) have hardness estimates.
- To get 160-bit classical security (\geq 80-bit quantum security):
 $n = 1024$, $q = 2^{32}-1$, χ = discrete Gaussian with parameter $\sigma = 8/\sqrt{2\pi}$

Key agreement

Basic ring-LWE-DH key agreement (unauthenticated)

- Reformulation of Peikert's R-LWE KEM (*PQCrypto 2014*)

public: "big" a in $R_q = \mathbf{Z}_q[x]/(x^n+1)$

Alice

secret:

random "small" s, e in R_q

Bob

secret:

random "small" s', e' in R_q

$$b = a \cdot s + e$$

$$b' = a \cdot s' + e'$$

shared secret:

$$s \cdot b' = s \cdot (a \cdot s' \cdot e') \approx s \cdot a \cdot s'$$

shared secret:

$$b \cdot s' \approx s \cdot a \cdot s'$$

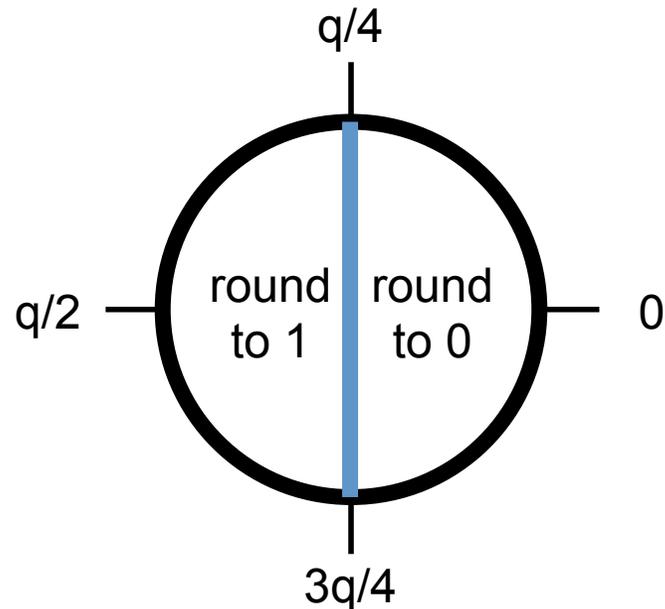
These are only approximately equal => need rounding

Rounding

- Each coefficient of the polynomial is an integer modulo q
- Treat each coefficient independently

Basic rounding

- Round either to 0 or $q/2$
- Treat $q/2$ as 1

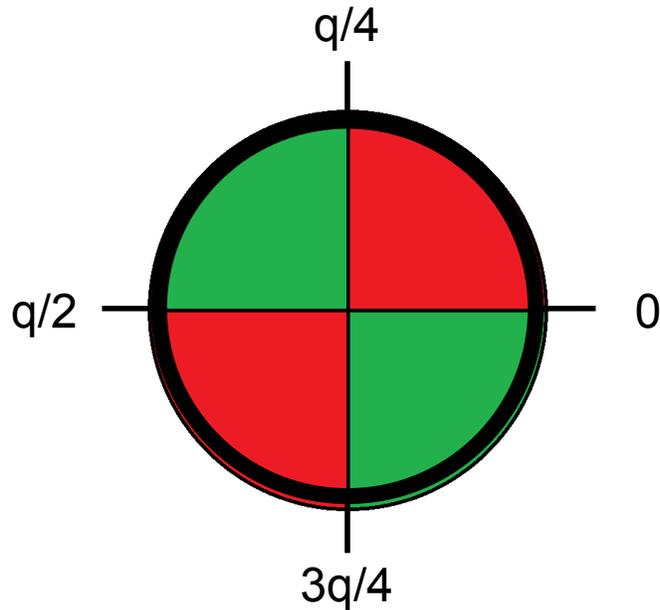


This works
most of the time:
prob. failure $1/2^{10}$.

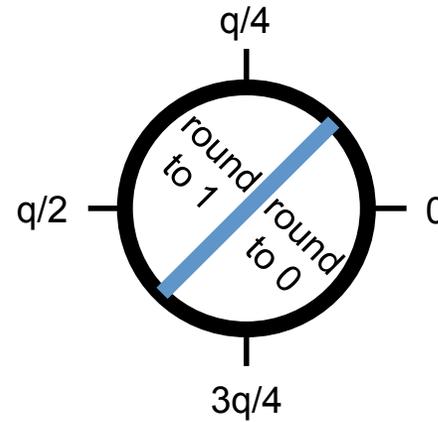
Not good enough:
we need exact key
agreement.

Better rounding (Peikert)

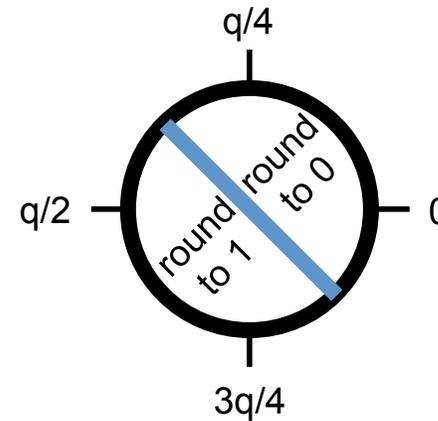
- Bob says which of two regions the value is in:



If



If



Better rounding (Peikert)

- If $|u-v| \leq q/8$, then this always works.
- For our parameters, probability $|u-v| > q/8$ is less than $2^{-128000}$.
- Security not affected: revealing  or  leaks no information

Exact ring-LWE-DH key agreement (unauthenticated)

- Reformulation of Peikert's R-LWE KEM (*PQCrypto 2014*)

public: "big" a in $R_q = \mathbf{Z}_q[x]/(x^n+1)$

Alice

secret:

random "small" s, e in R_q

Bob

secret:

random "small" s', e' in R_q

$$b = a \cdot s + e$$



$$b' = a \cdot s' + e', \quad \text{or}$$



shared secret:

$\text{round}(s \cdot b')$

shared secret:

$\text{round}(b \cdot s')$

Ring-LWE-DH key agreement

Public parameters	
Decision R-LWE parameters q, n, χ	
$a \xleftarrow{\$} \mathcal{U}(R_q)$	
Alice	Bob
$s, e \xleftarrow{\$} \chi$	$s', e' \xleftarrow{\$} \chi$
$b \leftarrow as + e \in R_q$	\xrightarrow{b} $b' \leftarrow as' + e' \in R_q$
	$e'' \xleftarrow{\$} \chi$
	$v \leftarrow bs' + e'' \in R_q$
	$\bar{v} \xleftarrow{\$} \text{dbl}(v) \in R_{2q}$
	$\xleftarrow{b', c}$ $c \leftarrow \langle \bar{v} \rangle_{2q, 2} \in \{0, 1\}^n$
$k_A \leftarrow \text{rec}(2b's, c) \in \{0, 1\}^n$	$k_B \leftarrow \lfloor \bar{v} \rfloor_{2q, 2} \in \{0, 1\}^n$

Ring-LWE-DH key agreement

Public parameters

Decision

$a \leftarrow$

Algorithm

$s, c \leftarrow$

$b \leftarrow$

Secure if decision ring learning with errors problem is hard.

Decision ring-LWE is hard if a related lattice shortest vector problem is hard.

$k_A \leftarrow \text{rec}(2b's, c) \in \{0, 1\}^n$

$\xleftarrow{b', c}$

$\bar{v} \xleftarrow{\$} \text{dbl}(v) \in R_{2q}$

$c \leftarrow \langle \bar{v} \rangle_{2q, 2} \in \{0, 1\}^n$

$k_B \leftarrow \lfloor \bar{v} \rfloor_{2q, 2} \in \{0, 1\}^n$

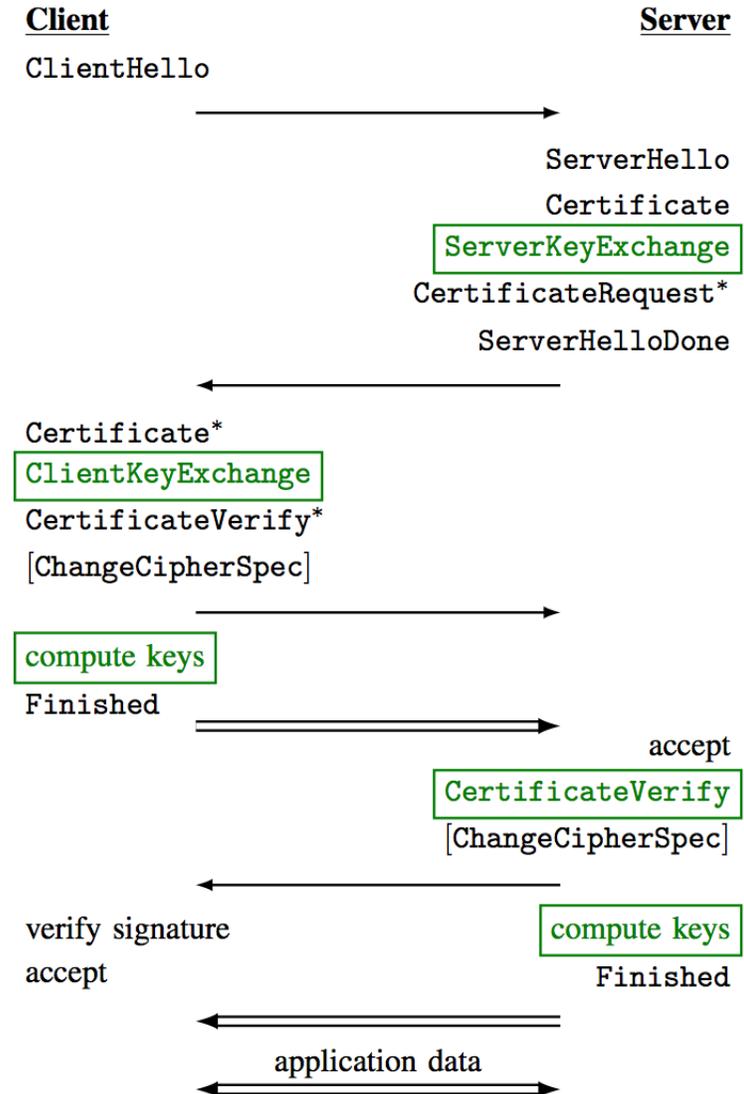
Implementation in TLS

Integration into TLS 1.2

New ciphersuite:

TLS-RLWE-SIG-AES128-GCM-SHA256

- RSA / ECDSA signatures for authentication
- Ring-LWE-DH for key exchange
- AES for authenticated encryption



Security within TLS 1.2

Model:

- authenticated and confidential channel establishment (ACCE) (Jager et al., *Crypto 2012*)

Theorem:

- signed ring-LWE ciphersuite is ACCE-secure if underlying primitives (signatures, ring-LWE, authenticated encryption) are secure
 - Interesting technical detail for ACCE provable security people: need to move server's signature to end of TLS handshake because oracle-DH assumptions don't hold for ring-LWE

Implementation

- Basic RLWE implemented in standalone C
 - two implementations: constant-time and non-constant-time
- Wrapped RLWE key exchange into OpenSSL libcrypto
- Added ciphersuites in OpenSSL libssl

Implementation aspect 1:

Polynomial arithmetic

- Polynomial multiplication in $R_q = \mathbf{Z}_q[x]/(x^{1024}+1)$ done with Nussbaumer's FFT:

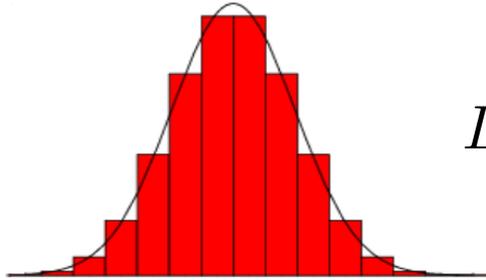
If $2^m = rk$, then

$$\frac{R[X]}{\langle X^{2^m} + 1 \rangle} \simeq \frac{\left(\frac{R[Z]}{\langle Z^r + 1 \rangle} \right) [X]}{\langle X^k - Z \rangle}$$

- Rather than working modulo degree-1024 polynomial with coefficients in \mathbf{Z}_q , work modulo:
 - degree-256 polynomial whose coefficients are themselves polynomials modulo a degree-4 polynomial,
 - or degree-32 polynomials whose coefficients are polynomials modulo degree-8 polynomials whose coefficients are polynomials
 - or ...

Implementation aspect 2:

Sampling discrete Gaussians



$$D_{\mathbb{Z},\sigma}(x) = \frac{1}{S} e^{-\frac{x^2}{2\sigma^2}} \quad \text{for } x \in \mathbb{Z}, \sigma \approx 3.2, S = 8$$

- Security proofs require “small” elements sampled within statistical distance 2^{-128} of the true discrete Gaussian
- We use inversion sampling: precompute table of cumulative probabilities
 - For us: 52 elements, size = 10000 bits
- Sampling each coefficient requires six 192-bit integer comparisons and there are 1024 coefficients
 - 51 • 1024 for constant time

Performance – math operations

Operation	Cycles	
	constant-time	non-constant-time
sample $\overset{\$}{\leftarrow} \chi$	1 042 700	668 000
FFT multiplication	342 800	—
FFT addition	1 660	—
dbl(\cdot) and crossrounding $\langle \cdot \rangle_{2q,2}$	23 500	21 300
rounding $\lfloor \cdot \rfloor_{2q,2}$	5 500	3,700
reconciliation $\text{rec}(\cdot, \cdot)$	14 400	6 800

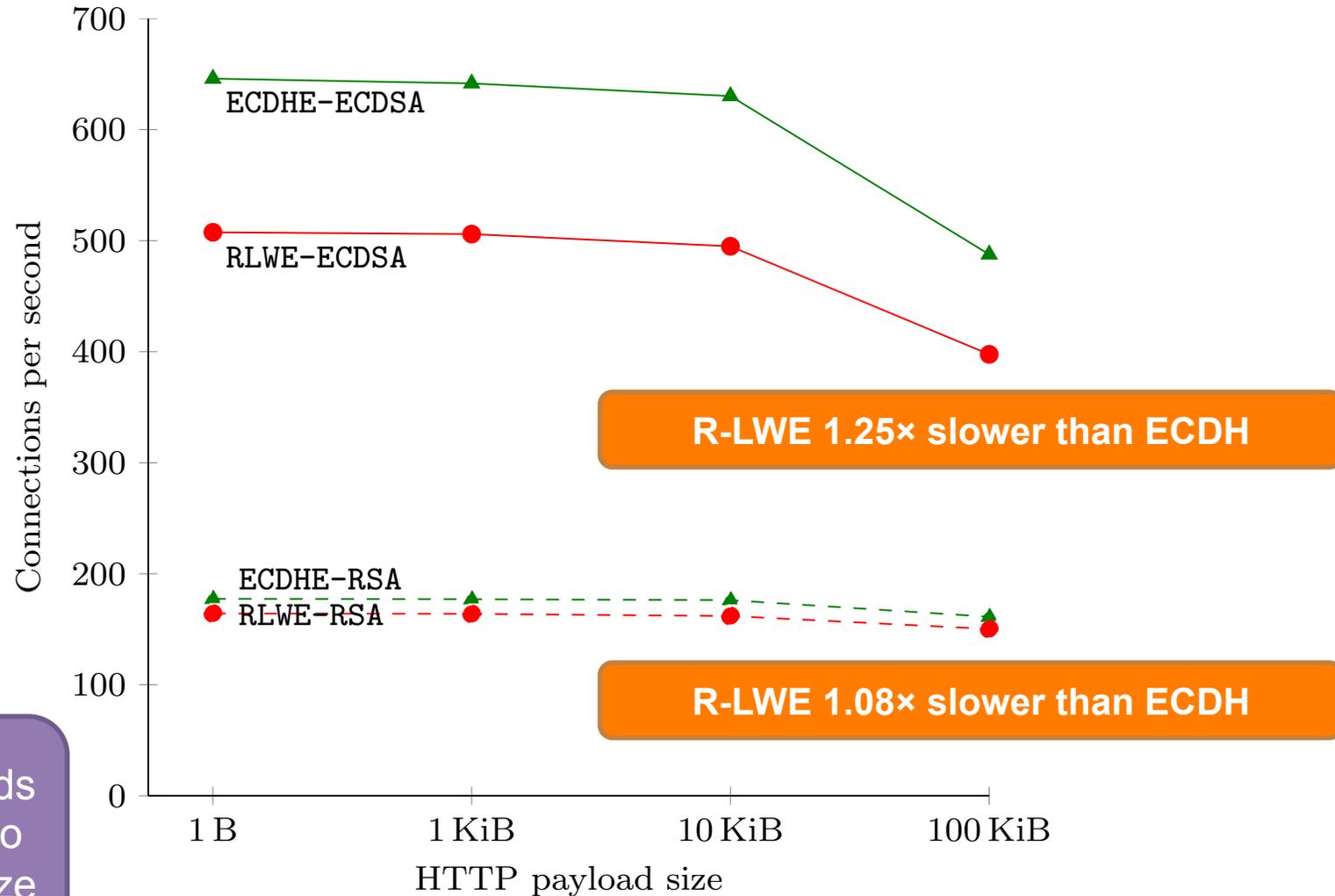
Performance – crypto operations

Operation	Client	Server
R-LWE key generation	0.9ms	0.9ms
R-LWE Alice	0.5ms	
R-LWE Bob		0.1ms
R-LWE total runtime	1.4ms	1.0ms
ECDH nistp256 (OpenSSL)	0.8ms	0.8ms

R-LWE 1.75× slower than ECDH

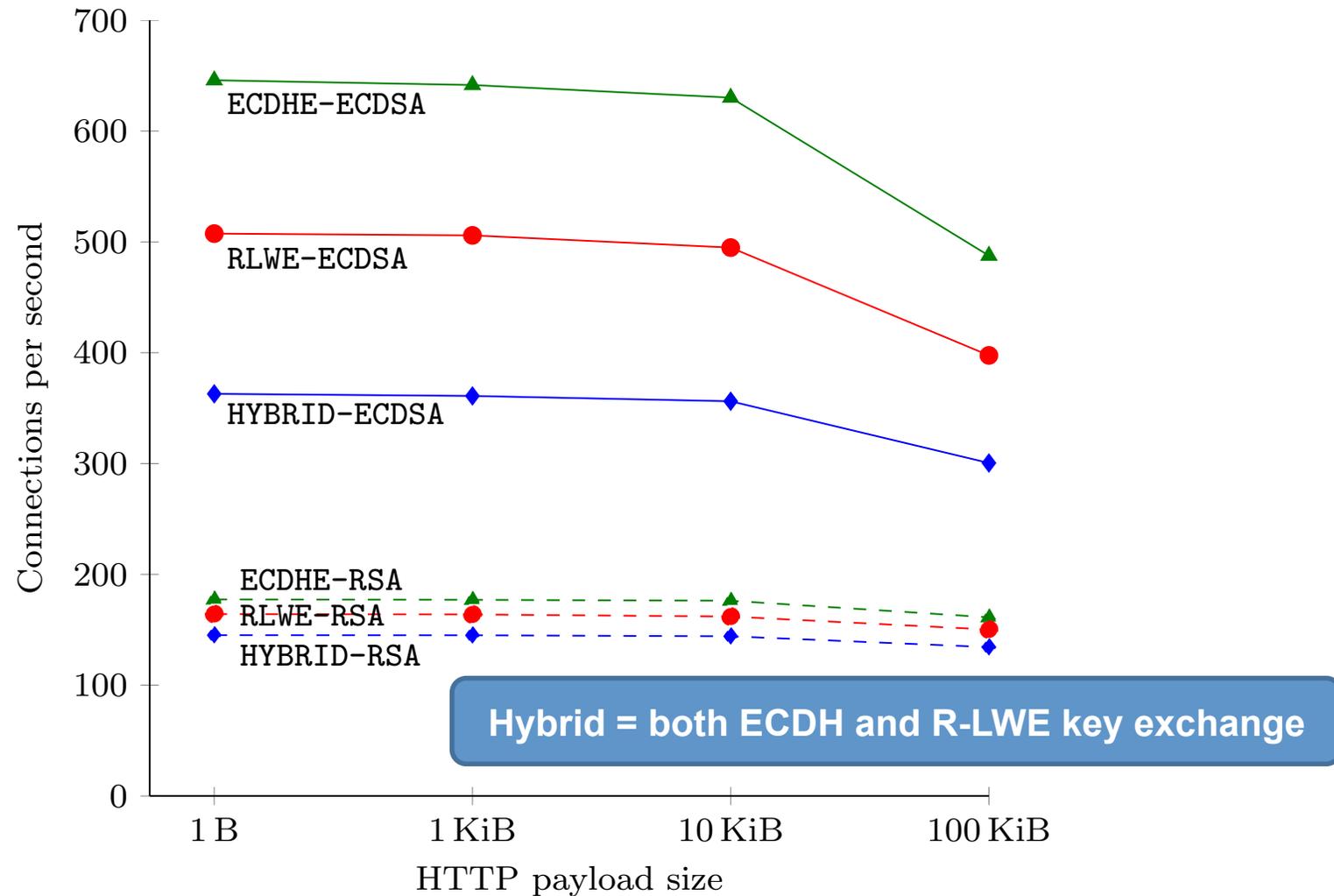
constant-time implementation
Intel Core i5 (4570R), 4 cores @ 2.7 GHz
llvm 5.1 (clang 503.0.30) -O3
OpenSSL 1.0.1f

Performance – in TLS



Ring-LWE adds about 8 KiB to handshake size

Performance – in TLS



Summary

Summary

Ring-LWE ciphersuite with traditional signatures:

- Key sizes: not too bad (8 KiB overhead)
- Performance: small overhead (1.1–1.25×) within TLS.
- Integration into TLS: requires reordering messages, but otherwise okay.

Caveat: lattice-based assumptions less studied, algorithms solving ring-LWE may improve, security parameter estimation may evolve.

Related / subsequent work

- Authenticated key exchange completely from RLWE
(Zhang, Zhang, Ding, Snook, Dagdalen, EUROCRYPT 2015)
- Hybrid RLWE + ECDH key exchange for Tor
(Ghosh, Kate, 2015)
- RLWE encryption on microcontrollers
(de Clercq, Roy, Vercauteren, Verbauwhede, 2015)
- NTRU-based key exchange for Tor
(Schanck, Whyte, Zhang, 2015)

Future work

better attacks /
parameter estimation

- taking into account reduction tightness
- estimate based on best quantum algorithm for solving RLWE

ring-LWE performance
improvements

- assembly
- alternative FFT
- better sampling, ...

other post-quantum key
exchange algorithms

- basic DH directly from LWE
- eCK-secure key exchange
- error correcting codes?

post-quantum
authentication

Links

The paper

- <http://eprint.iacr.org/2014/599>

Magma code:

- <http://research.microsoft.com/en-US/downloads/6bd592d7-cf8a-4445-b736-1fc39885dc6e/default.aspx>

Standalone C implementation

- <https://github.com/dstebila/rlwekex>

Integration into OpenSSL

- <https://github.com/dstebila/openssl-rlwekex>